### LAIKIPIA UNIVERSITY COLLEGE

**THE ASSIGNMENTS BELOW ARE TO BE ATTEMPTED IN GROUPS. THE ASSIGNMENTS ARE A TERM PAPER AND WILL COUNT AS A CONTINOUS ASSESSMENT TEST. THE PAPER MUST BE PRINTED, WITH FULL QUOTATIONS OF YOUR REFERENCES. PRESENTATION WILL BE MADE IN CLASS ON DATES TO BE AGREED UPON.MARKS WILL BE AWARDED BASED ON THE QUALITY OF WORK (CONTENT), ORGANIZATION AND PRESENTATION.**

1. **Give a background (Summarized, but capturing all essential components) and a critical analysis of the following prudential guidelines for the running of financial institutions (Banks and mortgage finance institutions) as issued by the CBK.**

1. CBK/PG/01 Licensing of New Institutions (G1- 2 pp)

2. CBK/PG/02 Corporate Governance (G1)

3. CBK/PG/03 Capital Adequacy (G2- 2 pp)

4. CBK/PG/04 Risk Classification of Assets and Provisioning (G3- 3 pp)

5. CBK/PG/05 Liquidity Management (G3)

6. CBK/PG/06 Foreign Exchange Exposure Limits (G4- 3 pp)

7. CBK/PG/07 Prohibited Business (G4)

8. CBK/PG/08 Proceeds of Crime and Money Laundering (Prevention) (G5-4 pp)

9. CBK/PG/09 Appointment, Duties and Responsibilities of External Auditors (G5)

10. CBK/PG/10 Publication of Financial Statements and Other Disclosures (G6- 4 pp)

11. CBK/PG/11 Opening of New Place of Business, Closing Existing Place of Business or Changing Location of Place of Business (G6)

12. CBK/PG/12 Mergers, Amalgamations, Transfers of Assets and Liabilities (G7- 3 pp)

13. CBK/PG/13 Enforcement of Banking Laws and Regulations (G7)

(Please download a copy of the prudential guidelines from <www.centralbank.go.ke>

1. **Give a background of the Basel accords, with an emphasis of the Basel III accord and its objects and provisions. (G8- 4 pp). You may get resources regarding Basel Accords from the internet.**
2. **Give a summary of the guidelines on agent banking. What are objectives of agent banking?**

**(Please download a copy of the guidelines from** [**www.centralbank.go.ke**](www.centralbank.go.ke)